Page I

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	3 NORTH CAROI WAKE COUNTY		IN THE GENERAL COURT	T DIVISION			
	4		95 CVS				
	5 CLIFTON XOO)DV					
	6	Plaintiff,					
	7 Vs.	riaineiri,					
	8	PORTATION, INC.,					
	9	Defendant.					
	10	berendane.					
	11						
	12	VIDEOT"ED DEPOS	SITION OF RRYXOND	IODD BROWN, a			
01'	13 witness in the above-entitled cause, purbuant to Notice						
	14 of Taking Deposition, at the Offices of CSX						
	15 Transportation, Inc., 500 Water Street, Conference Room						
	16 No. 2022, JackBonville, Duval County, Florida, on						
	17 Wednesday, November 20, 1996, conffnencing at 3:45 o'clock						
	18 p.m., taken before Dorie A. Morgan, Registered						
	19 Professional Reporter and Notary Public in and for Duval						
	20 County, Florida.						
	21						
	22			ORIGINAL			
	23						
	24		ORTIRG SERVICES,	INC.			
	25	JACKSONVII	LLE, FLORIDA 32202 904) 358-0112				

APPEAR	ANCES:						
2 RICKA	RD N. SHAPIRO, EBquire,						
3							
4	of the law firm of						
F	WilBon, Hajek & Shapiro, P.C.						
5	1294 Diamond Springs Road Virginia Beach, Virginia 23455						
6							
7	attorney appearing on behalf of the Plaintiff.						
8 JOHN C. MILLBERG, EBquire,							
9	of the law firm of						
10	Millberg & Gordon, P.L.L.C. 1030 Washington Street						
11	Raleigh, North Carolina 27605						
12	attorney appearing on behalf of the Defendant.						
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1		INDE	X		
2	WITNESS:	DIRECT	CROSS	REDIRECT	RECROSS
	Raymond Todd Brown (By Mr. Shapiro)	4	64		
4	(By Mr. Millberg) (By Mr. Shapiro)			66	
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13	1	EXBIB	ITS		
14	(Re	etained by	counbe	1)	
15	NO. & DESCRIPTION			FOR IDENT	IFICATION
16	Plaintiff's No. 1 Plaintiff'B No. 2				6 7
17	7 Plaintiff's Nos. 3, 4 Plaintiff'B No. 6	and 5		2	1
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STIPULATION It WaB Btipulated and agreed by and between counsel 3 for the respective parties, and the witness, that the 4 reading and signing of the deposition by the witness be waived. 7 RAYMOND TODD BROWN, 8 having been produced and first duly sworn as a witness, 9 testified as follows: 10 DIRECT EXAMINATION 11 BY MR. SHAPIRO: Q My name is Rick Shapiro for the plaintiff. 13 Could you please state your full name? My name iB Raymond Todd Brown. Α 15 Q What is your home address, Mr. Brown? 16 Α 4651 Long Bow Road, Jacksonville, Florida, 17 ZIP code 32210. And we're located in Jacksonville today at Q. 19 the CSX company headquarters? That's correct. 20 Α And it's November... 21 Q 22 Α 20th. 23 20th, 1996. Thank you. Q 24 What is your position with CSX, Bir?

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i,m director of occupational health programs.

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I Q And in that position, I take it, you are involved with ergonomic matters and ergonomic assessments with CSX?

A That's my chief rebponsibility, yes, air.

- 5 Q And have you generally had an opportunity to 6 review and become familiar with CSX's ergonomics programs 7 or evaluations since you've come to work here at CSX?
- 8 A I've become quite familiar with the CSX 9 safety program. The ergonomics function has been added 10 as an adjunct or an extension to that program.
- 11 Q When did you first come to work with CSX 12 Transportation?
- 13 A I began work in March of 1995.
- 14 Q I'm going to go into some of your
 15 professional background in a moment, but before I do I
 16 wanted to just at the beginning here state that we're
 17 going to explore a number of aspeCtB of ergonomics and
 18 your work with CSX and your knowledge in the field. And
 19 you generally understand that we're going to be looking
 20 into those matters today?
- 21 A Yes, I do.
- 22 Q About your profeBBional background, I believe 21 that Iwould like to sumnarize a few things that were 24 provided to UB, and you tell me if they're right and 25 correct me if there's anything wrong here.

ANDER50N REFORTINT3 BERVICEO; IMC, (904) 2SQ-0112

Α Okay. You have a bachelor of science degree in Q. 3 psychology from VCU in Virginia in 1977? A Correct. Went to North Carolina State University and 6 obtained a Ph.D. in philosophy, and I think your 7 secondary emphasis was in psychology and ergonomics. Α No, it was primary emphasis in psychology 9 with a minor in industrial engineering, the 10 concentration, the track study was the ergonomics program 11 there. 12 All right. Thank you. 13 And from mid '87 to mid '89 you were with a 14 company called TRW as a human factors engineer, right? 15 A That's correct.

16 Q. And that's similar to ergonomics, right, if 17 not the same?

What I waB doing at TRW is one aspect of is A 19 ergonomics or human factors.

Q All right. And then after that position you 21 went to the Association of American Railroads as a 22 research engineer and then a senior research engineer 23 between September of 1989 and March of 1995; is that 24 correct?

25 Α That's correct.

1 Q And then that takes us up to where, March 2 '95, you've been with CSX since then?

Q For those persons on the jury that may not understand or know, the Association of American Railroads is the main trade and research organization arm for the nations railroads; is that true?

- A We are -- we were the trade aBBOCiation for the U.S. railroad industry, that's correct.
- 10 Q And a good deal of your work while you were 11 with the AAR, as we'll call it, between '89 and '95 was 12 in the field of ergonomics; is that true?
 - A That's correct.

Yes.

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- Q Could you define what ergonOMiCB iB?
- 15 A There are any number of definitions of 16 ergonomics. ErgonOMiCB is also called human factors 17 engineering as you've pointed out earlier. It's called 18 engineering psychology. It's called human ergology. A 19 half a dozen or so different definitionb.

Chiefly, it involves the study of the work situation considering the interaction between the individual performing the work, the actual work being performed, that is the requirements of the tools that are used, any type of specialized equipment, and the environlment in which that work iB performed.

The chief aim of the discipline is to try and 2 match the requirements of the job with the capabilities 3 of the individual to promote comfort and reduce fatigue 4 and enhance efficiency. I've seen a phrase that it's fitting the job 6 to the worker to some extent. 7 A That's another kind of shirt-sleeve English 8 term for it, sure. You were within the Safety Research Division 10 of the Research and Test Department during your time at 11 the AAR; is that true? Α That's correct. Q You were located in WaBhington D.C.?

A The association was located in Washington, 13 14 15 yes. 16 Q And while there, some of your colleagues that 17 you worked with in the field of ergonomics were George 18 Page and Paul McMahan? 19 Α Correct. 20 And do you have a -- do you have a good deal Q 21 of respect for their professional abilitieb and opinions? George, I have a great deal of rebpect for. 23 I find him to he very credible. Paul tended to be, you 24 know, less technical and more managerial in his role 25 there. So it was not so much a peer relationship as it

Page 8

was an employee/employer relationship. Ι

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- Okay. They have written a number of 3 publications and articles in the field of ergonomics and so have you, correct?
 - I wouldn't say I've written a nuniber. I've written some.
 - Q They've written a number, though, right?
 - They've been active in publication, yeB.
- All right. And prior to the time that you 10 even went to the AAR in '89, Page and McMahan were 11 investigating and researching ways to improve railroad 12 profitability and reduce worker injuries; is that true?
- 13 A They were conducting ergonomiCB research. I 14 don't know that I would necessarily represent it as 15 improving profitability and reducing injuries aB the 16 chief aims. That waB one of a number of considerations 17 that they advanced in advocating ergonomic job design.
- 18 All right. And I want to aBk you if you're 19 familiar with some of Mr. McMahan's articles that 20 predated your arrival at the AAR that you may have had an 21 opportunity to look at. Let me show you this first one 22 here and ask you if you have seen that at some time 23 before?
- 24 This is titled overexertion InjurieB, a Α 25 National Epidemic. It was presented by Paul B. McMahan

I at the 1987 meeting of the National Safety Congress. 2 I've seen the reference. I don't know that I ever really 3 read the article in full.

0 He mentioned in here manual materials 5 handling involves the physical exertion to lift, lower, 6 push, pull or carry objects and overexertion injuries 7 occur when people attempt physical exertionb beyond the 8 limit of their capabilities. You would generally agree 9 with that, wouldn't you?

10 MR. MILL13ERG: Objection to the form of the 11 quebtion.

12 BY MR. SHAPIRO:

14

13 Q IB there anything --

> That's what Paul wrote. Α

Q All right. He said in this article in 1987 15 16 that low back pain --

17 MR. MILLBERG: Let me -- if I may interject 18 just for a moment. I apologize for interrupting, 19 but if I may just put an objection on the record to 20 examining the witness about a document he has said that he has not read. That's my objection. 21

22 MR. SHAPIRO: That's why I'm going to ask him 23 whether he agreeb or disagreeb with some of the 24 excerptionb. 25

THE WIT14ESS: I'd like to see it in the full

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ol-
                         context, if you don't mind.
               1
                                MR. SHAPIRO: Sure.
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               3
                                THE WITNESS: I'll be glad to read the thing
                          for you.
               5 BY MR. SHAPIRO:
                                For example, he said here in this article
               7
                  that low back pain is the most significant of the
               8 industrial overexertion injuries. Not only is it a
9 serious physical problem for the individual sufferer but
               10 is a major socio-economic disability as well. Those are
               11 jUBt tWO sentenceb right here.
                                Will you -- it really doesn't matter. I'm
               13 jUSt abking if you agree or dib&gree with the statement.
14 MR. MILLBERG: I'm objection -- I'm objecting
               15
                          to the hearsay introduction of materials that this
               16
                          witness has never read. That's my objection.
               17
                                MR. SHAPIRO: I understand.
                                THE WITNESS: Well, about the third sentence
               18
                          in, in the pasbage that you cited, Paul makes a
               19
                          reference to Akeson, A-k-e-B-o-n, 1997 (sic).
               21 BY MR. SHAPIRO:
               22
                          Q
                                1987?
               23
                          Α
                                1977.
               24
                          Q
                                Oh, '77?
               25
                          Α
                                I'm sorry, yes. And it's not clear from the
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Page 1 context as to whether these are Paulls words or whether these are conclusions or opinions advanced by the author. 3 All right. Thank you, sir. Let me just see if -- there might have been 5 one or two other points I wanted to ask you about. He 6 said -- and I'm just asking at this point if you agree 7 based on your experience that this is an accurate statement. Overexertion injuries account for 50 percent of all reported lost time injuries. And he goes into 10 discussing injuries, and I believe he's referring to the 11 railroad industry. 12 MR. MILLBERG: Same objection. 13 THE WITNESS: I don't know from the context 14 and --15 BY MR. SRAPIRO: 16 Q This was written in 1987. So I prebume he 17 waB referring to some statistics prior to 187? 18 MR. MILLBERG: There's a question pending. THE WITNESS: Well, the reference that'B 19 cited to lead this was an analysis of injury data 20 21 compiled by the Federal Railroad Administration. 22 BY MR. SHAPIRO: 23 Q Do you think that would be a good source of 24 information? 25 That's the data required by law that the

railroad's report to the government, certainly.

overexertion injuries account for 50 percent

of all reported 10Bt time injuries. Is that the point
that you were --

- Q Yes.
- 6 A -- you were asking about? Okay.
 - Q Can I exchange with you?
- 8 A Oh, sure.
- 9 Q It's the same one.
- 10 A Okay.

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- Q Did you want to continue your answer?
- 12 A (No audible response.)
- 13 Q All right. Well, anyway --
 - A Well, they, you know, if the date -- I don't recall ever reading that particular document. If, you know, that's what the data showed. I'm trying to recall the exact format in which injuries are reported to the FRA
 - Q All right. Let --
- 20 A Whether there's an overexertion category or 21 not, you know, that's kind of a judgment call on the part 22 of the railroad that's doing the reporting.
- 23 Q Let me ask you, further down in the article 24 he says major activities found to be associated with 25 overexertion injuries in the railroad industry are

1 handling material, handling ties, using tools, et cetera,

- 2 et cetera. These --
- B A Hand break couplers, switches, sure.
- Q Would you agree with that?
- A Not being able to, you know, directly look at
- 6 that report, you know, I'd have to go on -- on the
- 7 surface of, you know, what is being presented here. Paul
- 8 is usually pretty accurate in his citations.
- 9 Q Then further in this article, I mean, the
- 10 point is he's talking about occupational ergonomics and
- 11 there's a title Tools for Injury Control and the idea
- 12 here is to advance ergonomics as a method to redesign
- 13 jobs and to reduce injurieb to workers, correct?
- 14 A That's true, that's what he's going after.
- 15 That's the point he needs to make.
- 16 Q And he talks about the NIOSH -- that's the
- 17 National Institute of Occupational Safety and Health --
- is A Right.
- 19 $\,$ Q -- guidelines relating to work practiceb for
- 20 manual lifting; is that true?
- 21 A It'B the NIOSH Work Practices Guide for
- 22 Manual Lifting.
- 23 MR. MILLBERG: State an objection to that
- 24 question about NIOSH documents.
- MR. SHAPIRO: All right.

Page 15

I MR. MILLBERG: And I don't want to keep

interrupting.

MR. SHAPIRO: I understand.

4 MR. MILLBERG: If I can just have a line objection to that

6 MR. SHAPIRO: All right.

7 MR. MILLBERG: -- I'll stay out of your way.

MR. SHAPIRO: Okay.

9 BY MR. SHAPIRO:

10 Q Well, on Page 4 here, do you see under Job 11 Analysis and Design he referred to Ford Motor Company as

12 another example where they've used biomechanical models?

MR. MILLBERG: Objection.

14 THE WITUESS: That's the third paragraph

15 down. That's what it says.

16 BY MR. SRAPIRO:

Q Were you generally familiar with any of the R ergonomics work that was done at Ford in the R 1980s or

19 late 'BOs?

MR. MILLBERG: Objection.

21 THE WITNESS: Not really, no.

22 BY MR. SHAPIRO:

23 Q And let me show you this other article

24 entitled Work Measurement System Create Shared

25 Responsibility Among Workers at Ford. Is that an article

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1 that you'd ever seen before?
2 A
              lio.
3
              Do you know who Dr. Michael Schinnick is, an
4 ergonomist who was a co-author of the article?
5 A I understand that he is the expert that you
6 have retained in this matter.
7 Q Okay. And did you know he waB an ergonomist 8 before thib case? Had you ever heard of him before?
9
           I've had dealings with him on cases in the
       Α
10 past, yes.
      Q
             And he -- were you aware that he basically
12 was involved at Ford in designing some ergonomic programs
13 in the late 19BOB?
              No, I was not.
15
              MR. SHAPIRO: I guess I'll move to introduce
16
        this as No. 1, the article we were just referring
17
              (The document last-above referred to was
18
        marked for identification as Plaintiff's Exhibit No.
19
20
        1.)
21 BY MR. SHAPIRO:
22 Q Let me show you another document --
23
              MR. MILLBERG: Objection for the record.
24
             -- all right -- dated 1988 that's entitled
25 Application of the NIOSH Work Practices Guide for Manual
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Lifting. It's purported to be authored by Page and 2 McMahan. Are you familiar with that document?

- A I believe I've seen this, yes.
- And these were your colleagues at the AAR 5 once you were working there, right?
- A That's correct.

 Q And this art- -- this article, to summarize 7 8 it basically, was an article designed for the railroad industry professional to get them familiar with the NIOSH 9 10 Work PracticeB Guide for Manual Lifting and how to apply 11 it to tasks in the railroad industry?
- A As I recall, that was the intent of the 13 article, yes.
- 14 And was that kept at the AAR and made Q 15 available to railroad profeBBionals that sought to get a 16 copy of it?
- 17 A To the best of my knowledge, yeB.
- 18 MR. SHAPIRO: I move to introduce this as No.
- 19 2.

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- 20 MR. MILLBERG: Objection.
- (The document last-above referred to was 21
- 22 marked for identification as Plaintiff's Exhibit No.
- 23 2.)
- 24 BY MR. SHAPIRO:
- 25 Q By 1990 the AAR had developed and synthesized

a lot of this material, some of which we just covered in that article, and decided to prebent a workshop for the nation's railroad Bafety representatives on ergonomics; is that true?

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The intent was to develop an ergonomic training program for railroad safety profeBsionalB. A series of what we call developmental workshops in which representatives from different railroads were invited to participate were held. The intent was to ensure that the 10 information prebented was not overly technical, was 11 presented at a level that was understandable and 12 meaningful for railroad safety professionals, give them a 13 tool that they could go out and apply to jobs that met 14 the criteria, the assumptions and limitations of the 15 various models and techniques involved.

And was that ergonomics workshop first held 17 in October 1990, or waB there one before that?

18 As I said, there were several developmental 19 workshops that were held. The time frame, as I recall, was the late '80s, early '90B. The development of the 20 21 quide had been underway for some time.

Let me show you several documents and ask you 22 23 if you can identify those. ThiB one first. It's called 24 the AAR Ergonomic Guide dated October 19, 1990. And, 25 naturally, I'm not asking you to read the entire

document, but could you tell me if that appears to be generally familiar to you?

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- A Well, it appears to be a mix of a number of things, text as well as copies of some of the slides that were used in workshop. And whether --
- $\ensuremath{\mathtt{Q}}$. The first portion appearb to be the overhead slides?
 - A The first portion appears to be that, yes.
- Q And then was there a -- like a manual or a seminar, you know, manual that waB given to attendeeb?
- A As originally conceived, the ergonomic guide would consist of Beparate sections, the section on background, the section on techniques, a section on case studieb illustrating application of the techniqueb, a system on -- or a section on job design guidelines.

16 There was also Bome consideration at the time 17 of Betting up in a modular fashion such that a railroad 18 could build its ergonomic education program around its 19 own interests or concernb so that you would have, for 20 example, a module on manual materialb handling, you could 21 have a module on working hot and cold environments, you 22 could have a module on slips, tripb and falls or any 23 other topic area that was addressed in the ergonomic 24 quide.

Q And BO generally this iB different materials

1 that would have been presented at the workshop?

- 2 A This -- from what I can tell just by glancing 3 through it, this appears to be the information that would 4 comprise the low back pain and manual materials handling 5 module, the text of the guide as well as the overheads 6 that would have been used.
- Q Okay. I'm going to go back to some of the points about that in the firbt seminar. But jUSt BO we can get some things identified, here's another document here which is entitled AAR Ergonomic Guide and it's ergonomics workshop December 1990. And last I have a document here which is also entitled AAR Ergonomic Guide and it's called Prerelease Edition. It says 1991.
- 14 Are these documents that you're generally 15 familiar with? They look to be different series of 16 documents.
- 17 A (No audible response.)
- 18 Q What I used to guide me was on the top right 19 of the pages it has draft dates on most of the documents 20 so I could tell.
- A Yeah. This second set of documents that you 22 presented to me would be the, say, second and third 23 module in that modular approach that I was telling you 24 about, addressing anthropometry, seating design and 25 Blips, trips and falls.

All right. And what is then this third one 2 here which said Prerelease Edition, what is that, Mr. 3 Brown? Do you go by Dr. Brown or --I go by Todd. 4 A 0 I'll just --Α I don't stand on a lot of pretense. 7 Q All right. Α If memory serves, this iB eboentially the 9 same material that we talked about in the first package. 10 I didn't see the overheads for training, but essentially 11 the same material with maybe some modifications on the 12 basis of feedback received from the workshops, but 13 essentially addressing low back pain and manual materials 14 handling put together in the modular format that we 15 talked about. 16 Q. And all of these were presented between 1990 17 and 1991? 18 That time period sounds about right, yes. А 19 MR. SHAPIRO: Okay. I'm going to ask that the firbt guide for '90, October 190, be marked as 20 No. 3, the modular part that on the cover here has 21

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December 1990 mark as 4, and the one that's entitled

(The documents last-above referred to were

Prerelease Edition and has a copyright of '91 mark

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as No. 5.

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marked for identification as Plaintiff's Exhibit
     Nos. 3, 4 and 5.)
3 BY MR. SHAPIRO:
      Q
          And at this point I have a few more
5 questions. And before I get into all the workshops,
  there's an article that's apparently written in 1991 that
  I wanted to ask you about that you were co-author of, and
8 it's this one here entitled Ergonomics in the U.S.
9 Railroad Industry. I wanted to ask about this because it
10 seems to summarize some of the thoughts that were going
11 on at workshops.
             First of all, you're familiar with the
13 article, right?
14
   A
              Yes, I'm the lead author on it.
15
              Right. You, Mr. Page and Mr. McMahan wrote
16 this ergonomics article and -- okay. On the second
17 column on the right on the first page here, I'm going to
18 ask about a feW BtatementB and I want tO Bee if you can
19 expound on them.
20
              The physically demanding nature of many
21 railroad crafts coupled with the increased age of
22 railroad employees, parentheBiS, (the average is 45
23 years), end parenthesis, dictates that special attention
24 be paid to the capabilities and limitations of the
25 workers.
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1 You still stand by that, don't you? 2 A Yes. And I wouldn't just say it's exclusive 3 problems or concern with the railroad industry --4 Q Yes, sir. 5

-- but of all industries, sure.

6 Down on the third paragraph on that column that begins "the ergonomic function," you talked about 7 the fact that this division of the AAR that you were in, the Safety ReBearch Division, employs a variety of 10 methods in its evaluation of employee work practices, 11 including analySiB of accidents/injury data, employees, 12 surveys, job and task analysis, videotape and still 13 photography and POBtural analysis UBing biomechanical 14 models.

15 You were doing that by 1991, right, if not 16 earlier?

A We were doing all those, yes.

17

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Q. Now, the article was written in 1991; is that 19 correct?

20 Well, it was written in late 1990 and saw the

light of day in March of 1991. 21 22

Q This was published in the Human Factors 23 Society Bulletin which is an industry publication, right? 24 A Human Factors Society Bulletin is a 25 publication of the Human Factors Society which is a

1 professional society that I belong to. It's not an industry publication.

- Q Oh, I'm sorry. Not a railroad industry publication but more of an ergonomics publication?
 - A Professional publication, yes.
- Q On Page 2 on the right column on the second paragraph, I guess it's the first paragraph that begins there, you were talking about in the last sentence "alternatively AAR ergonomists have provided management with solutions that emphaBiZe improved job design and work practices."
- 12 A Uh-huh. YeB.
- 13 Q And --

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- A Where is that?
- The second paragraph up here on the right side. It's the last -- it's the next to the last sentence there.

and they shipped them in cardboard boxes --

- A Yes
- 19 Q All right. And you mentioned at the end of 20 the page, you gave an example of railroad Bpikes that 21 were at one time in kegs weighing 200 pounds and that one 22 of the railroads had developed a better method of 23 packaging the spikeb. I believe instead of round 24 containers, for example, they reduced the pound weight

1 A Uh-huh, yes. 2 Q -- that weren't round either. I think they 3 were square and they could be stacked. 4 Yes.

- Q And essentially in this article you were summarizing a lot of the different things that the AAR had been providing to the railroad to assist them with ergonomic analysis, right?
- A Chiefly, the reBUltS of the research that we had been conducting and the information that we had been gathering to educate railroad safety professionals.
- Q And since I'm going to go through some of the blowups here from the seminar, I'm not going to beat this too far here on the article, but --

A Okay.

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- Q -- you talked about NIOSH guidelines, you talked about biomechanical models which software was developed to do biomechanical modelb.
 - A Uh-huh.
- Q And you mentioned here on Page 3 that work was progressing on evaluated track maintenance operations and a top-down analysis of track maintenance work practices for one thing.
 - A Yes.
 - Q And then you mention a number of other

1 points. Uh-huh. Α 3 All right. Let's go back to some of the Q. 4 points that were discussed in the workshop. MR. SHAPIRO: I'm going to aBk that this be marked as Wo. 6. 6 (The document last-above referred to was 7 8 marked for identification as Plaintiff'B Exhibit No. 9 6.) 10 BY MR. SHAPIRO: 11 Q. At this point I'm going to refer you to thOBe 12 other binder clipped -- the blowups here, and I want to refer to this easel if we could. I'm referring back to 13 14 the October 1990 Beminar that was held in WaBhington. 15 And on this page here this was, I guess, the -- obviously 16 the syllabus of what was going to go on at the seminar. 17 And you were a presenter of a number of the particular 18 topics, weren't you? 19 Now, according to this I was going to discuss Α 20 the analysis of medical and safety data and job design 21 for manual materials handling case studies. 22 And some of your topics involved looking at 23 that medical and safety data and how the professional 24 could look at that, use that in a constructive way in

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25 reducing injuries, correct?

Page 27 Well, looking at it as a means of focusing 2 one's attention on potential problem areas. 3 Q It -- obviously we talked about some of 4 these. There was quidance here on using biomechanical 5 modelb, changing jobs, redesigning jobs, using the NIOSH 6 Work Practices Guide, et cetera, correct? Α That's what it says. And at that October seminar in 1990 it 9 included some of the personnel that were at the Beminar, 10 and I wanted to focus your attention on Joe McCall with 11 CSX, who you now work with, was there, right? A Joe was listed as a potential attendee. As I 13 recall, thib list was prepared in advance and combisted 14 of people who had indicated that they would attend. Now, 15 whether Joe was there or not at that particular workshop, 16 I can't recall specifically. If he wasn't there in October, you certainly Q. 18 remember him being at one of the other seminars, don't 19 you? I mean, this presentation was repeated in some 20 changed wayb in December, right? 21 A I don't recall. 22 0 You know Sandy Hall, right? 23 Α I met Sandy at these workshops and recall her 24 attendance at some. I can't say that she attended all of 25 them.

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- 1 Q She has the materials, though?
- 2 A She received materials for review. She was
- 3 identified by CSX as a participant in this review process
- 4 to essentially, like I say, ensure that the information
- was accurate and at a level that could be understood by
- 6 railroad safety professionals.
- 7 Q is she still with CSX today?
- 8 A Yes, she is.
- 9 Q And is Mr. McCall still with CSX?
- 10 A I believe so.
- 11 Q And these are colleagues that you work with
- 12 daily, right?
- 13 A I wouldn't say daily. We work from time to
- 14 time on different things.
- 15 Q Are you both located here in the same office?
- 16 A Joe and Sandy are located in the Safety
- 17 Department. They're on the eighth floor. I'm located in
- 18 the Medical Department here on the second floor.
- 19 Q Okay. And Mr. Robey from Norfolk Southern
- 20 there, a person who iB their main ergonOMiBt WaS listed
- 21 as being present at the workshop, correct?
- 22 A Bill Robey also attended a number of those
- 23 workshops. That's where I met him. Whether he was at
- 24 that particular one, I can't say. Again, this was a
- 25 pre-workshop list. It was developed on the basis of

le

1 people who said they would attend if possible.

- 2 Q In other words, they pre-regibtered for the 3 seminar?
- A Well, there was no cost involved other than, you know, the tickets up there.
- 6 Q Right. And some of the other nations 7 railroads were there. Amtrak was reprebented, Union 8 Pacific and so on and BO forth.
- 9 A Again, they were pre-registered. You know, 10 some people attended some, some people attended all of 11 them.
- ThiB was a definition of ergonomics that was listed in the workshop materials and we talked about it earlier. Ergonomics means fitting jobs to people and that frequently the causeb Of injuries, illnesses, accidents and job performance errors or mistakes can be traced to the very tools we use in the requirements of the work itbelf. Tools and equipment are often designed without consideration of the capabilities of people who operate them.
- That's a good definition of ergonomics, isn't 22 it?
- 23 A Well, some of what was going on here was a 24 hard sell by Paul McMahan of ergonomics to the industry. 25 There were occasions where both George Page and I took

exception to some of the assertionb that were advanced by Paul in the guide. However, he retained editorial control of the document, if you will.

And I think what you see here iB ergonomics means fitting jobb to people. I have no problem with that. Ergonomics is concerned with the interaction between the work environment and the worker. You know, I -- right up front we talked about that, and I told you that that's Bomething that, you know, I work with.

Frequently the causes of injuries, illnesses, et cetera, et cetera, that's where the marketing comes in, creating a sense -- trying to create a sense of need for thib product, if you will, of the AAR.

- You're representing to the railroad professionalb at the workshops, right?
 - Α Right.

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- 17 Well, do you agree with this: Some jobs 18 require excessive repetitive movements of the hand or 19 wrist. Other jobs may require workers to perform tasks in uncomfortable bent-over positions. I mean, lotb of 20 different jobs have situations like that, and that's one 21 22 of the reasons ergonomics is designed to correct those 23 problems, isn't it?
- I don't know the context that Paul was Α 25 referring to in that statement. I don't know whether he

I was referring to railroad jobs. I don't know whether he was referring to jobs in general. Again, as I said, this was a rather indelicate hard sell of the discipline.

All right. Some of these other blowups, I've 5 taken some of the overheads. They might not have been 6 exactly in this fashion, but in the copy that we had we just used some that we felt were important to your deposition. But this one just basically outlined that Mr. McMahan, yourself, Mr. Page and Mr. Wilker were 10 taking part. It talked about the goals of the guide, and 11 certainly one of the goals was to target the job 12 analyst.

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Now, what does that mean? Does that mean any 14 perbon with the railroad that waB going to go out and 15 assess the ergonomics of a particular task?

16 The goal of the program was to improve 17 safety, health and productivity; the objective, provide 18 the basic training. The target for that training was 19 what waB generally referred to as the job analyst, 20 someone involved in safety, health, ergonomics, what have you, as indicated there, someone who would go out and 22 look at the jobs.

23 All right. Certainly, your efforts in the Q 24 workshop to improve the understanding of the 25 professionals there would be futile if the job analyst

1 didn't go out in the workplace and start applying the 2 principles, right?

- I wouldn't say they'd be futile. I'd say it 4 would certainly be frustrating.
- 5 Okay. And some of the application areas that 6 you were talking about were the job design, and there's how the job -- the materials in question would be handled 8 in the job in the workplace, avoiding repetitive stress 9 injuries, avoiding overfatigue and discomfort of the 10 worker, equipment design. You're talking here about 11 looking at the debigns and POSBibly re-engineering them
- 12 if necessary or feabible, correct?
- 13 YeB. Α
- 14 Q Looking at vibration, heat, cold, noise?
- 15 Α Yes.
- And for people that were office workers 16 Q. 17 looking at changes in those respects, right?
- 18 Α Correct.
- This was not part of the seminar, but I saw 20 this in another industry publication and I wanted to ask 21 you if you can read -- take a look at these 12 items and 22 tell me whether you agree these are all good, fundamental
- 23 principles of ergonomics. 24 MR. MILLBERG: Objection. You can answer.
- 25 THE WITNESS: Well, there'B some subjective

words in a lot of thOBe 12 points.

2 BY MR. SHAPIRO:

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Q All of these --A Yet, you have to be -- it's almost a one size all 12-point approach to ergonomics. In many respects, ergonomics is very Bpecific to the job or the 7 task that you're looking at. Q So, in other words, not every one of these 12 is going to apply to every particular job task, but in 10 general terms these are things you'd be looking at that 11 could apply or they might not apply, right? 12 They may or may not apply, but, then again, Α 13 there's a highly subjective flavor to a number of these. 14 No. 3, reduce excessive forces. Wo one knows what 15 exceBBive force is. OSHA can't tell you what excessive 16 force is. 17 Reduce excess repetition. OSHA can't define 18 repetition, let alone what excessive repetition iB. YOU 19 know, provide adjustability and change of posture. You 20 know, where? When? Maintain a comfortable environment. 21 You know, what's comfortable for me iB freezing for my 22 wife. This is a --23 Have you seen this before? Q

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I've never seen that before, no.

I didn't know if it was something that you

l had seen.

A You know, keep everything in easy reach. Okay. Where are you going to do your work? You know, there are a number of, for lack of a better term, moderators, that need to take into consideration when you're looking at work. And this is -- thib is almost ergonomics almost reduced to the absurd, in my opinion.

Q These are back to the blowups from the actual workshop. This particular blowup waB talking about job analysis techniques and it BAYS tools to identify and evaluate a problem, analyBiS tO safety data.

Would that be looking at accident reports and injury reports involved in a particular work task? Would that be one abject of looking at the safety data?

A What was being discussed there is, as I recall, was taking something like company injury records or FRA data, performing statistical analyses to get an idea of incidentb and prevalence of types of injuries and using that information to target your efforts for subsequent job analysis techniques such aB manual lifting, biomechanics, fatigue assebsment, POBture analysis, that sort of thing.

23 Q Is it important to analyze POBture in a job 24 task?

25 A It depends on the job.

Page 35 Q You want to avoid awkward postures in a job

2 that are repeated, don't you?

MR. MILLBERG: Objection; it's vague.

4 THE WITNESS: It's a matter of frequency and duration.

6 BY MR. SHAPIRO:

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Q All right. Here there was thib graphic that was presented that overexertion was linked to 61 percent of low back pain.

Do you recall, is this from railroad records or indUBtry records in general?

A I don't recall.

Q In looking at low back injuries and analyzing jobs, this particular graphic talked about four particular items, the medical and safety data, the NIOSH Work PracticeB Guide, biomechanical analybis and surveying the workers.

Now, of these four only one of them involves getting into the workforce and talking to the workers, and that's the last one, right?

A well, to conduct a job analysis using either a biomechanical model or the NIOSH Work PracticeB Guide you would have to be in the workforce and observe the work.

Q So you'd have to -- the job analyst has to

get out there and look at the particular jobb?

A YeB.

- Q And some of the basics that were highlighted in this graphic were that the risk of injury would increase with how far away the object was from the body, how heavy the object is, how often it's lifted and whether there's any tWiBting or bending involved, right?
- A Those are some of the ribk factors that have been cited. Again, the risk, these are not absolute. They are moderated by how often the activity occurs as indicated in the third bullet and also the duration of the activity, a lift and carry versus just a lift and a place, for example.
- Q Okay. The manual in that firbt workshop gave this list here of what the job analyst should do in looking at a particular job. And there was a checklist -- and I think we'll find that later in the blowups here -- and that was provided to the railroad professionals for use in the workplace, right?
- A That was provided in the ergonomics guide and to attendees at the workshop.
- Q Looking at the technical and scientific literature and the workshop gave examples of methods to utilize in looking at the job, and then the manual talked about ways to ergonomically alter the job such as using

1 engineering controls. Now, an engineering control, would that 3 include altering a piece of equipment? MR. MILLBERG: Objection to the dialogue that precedes the qUeBtion, or monologue, actually. Go 6 ahead. 7 MR. SHAPIRO: All right. 8 THE WITNESS: Well, what you see here is essentially what we talked about earlier as an 10 overview of the different sections of the guide, the 11 background aB -- give you a little bit of 12 orientation to the particular topic under 13 consideration. It worked in hot and cold envirorAmentB, slips and falls, whatever, how you 14 15 could analyze a job, how if you think you have an 16 issue in a job design you could redesign the job, 17 provides referenceb on which the analysis methods of 18 the job redesign methods are based. It also 19 provided case studieb illustrating how you might use 20 the method and what you might do to accomplish a job 21 redesign. 22 BY MR. SHAPIRO: 23 One example of an engineering control is Q 24 changing equipment, aB it says here, right? 25 An engineering control, sure, would be a

I redesign of the equipment.

2 Q And one example of an adminibtrative control 3 would be rotating workers in and out of a particular 4 repetitive job, for example?

- 5 A Work rotation is a traditional administrative 6 control, yes.
- Q Okay. This is a particular checklist for low back pain injuries as opposed to other types of injuries that the professional might be looking at; is that true?

 A That was the checklist that was produced for
- 10 $\,$ A $\,$ That waB the checklist that was produced for 11 low back pain section.
- 12 Q One thing mentioned down here was whether 13 there's whole body vibration in the task, correct?

14 A YeB.

18

15 Q What if there's whole body vibration during 16 the entire workday, what would be the concern then?

MR. MILLBERG: Objection.

THE WITNESS: The issue there, as I recall,

was there was some evidence to suggebt that over-the-road truck drivers seemed to have a

21 Bomewhat higher incidence of low back pain.

22 BY MR. SHAPIRO:

- 23 Q Is there any Btandard to measure vibration
- 24 and to decide exactly what's dangerous and what's not?

25 A No, there iB not.

Page So it's just something you have to look at, 2 and if it's repetitive maybe deal with it the best way 3 you can? MR. MILLBERG: Objection. THE WITNESS: I don't know what the basis for the inclusion of that particular point or most of these points in the checklist was, you know. This 7 material had been developed prior to my arrival at the AAR. 10 MR. SRAPIRO: Okay. Thank you. 11 Let'B just take a quick break. 12 (Whereupon, a short recess was taken, after 13 which the deposition waB resumed as follows:) 14 BY MR. SELAPIRO: 15 We just flipped the page. Okay. Tell us --16 this is another graphic from -- I believe this iB from 17 the workbhop also in October 190. And this particular one was headed Why Monitor Employee Medical and Safety 18 Data, and basically it was giving the reasonb why you 19 should look at the injury reports or the safety records, 20 and if there's -- certainly if there's some history of a 21 22 problem with prior injuries or lots of prior injuries, 23 that would be a topic that would make the job analyst 24 look at that particular task, right? 25 If you see a particularly high incidence or

prevalence of injuries within a certain craft group or within a certain plant area, certainly that is a red flag or an area that you would want to concentrate your efforts on.

- 5 Q The NIOSH Work Practices Guide came out what, 6 in 1981; is that true?
 - A FirBt published in 1981, yes.

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- Q And it's been looked at and it'B been a good, handy guide utilized by ergonomists out in the field, correct?
- 11 A I wouldn't necessarily characterize it that 12 way.
- 13 Q It has its limitations but it's been useful, 14 hasn't it, to the extent --
- 15 A Well, the NIOSH Work Practices Guide has a
 16 number of limitations. I think probably the biggebt
 17 indictment of the work practices guide came -- and
 18 biomechanical models, for that matter, came in the
 19 Beverly Enterprises case where OSHA tried to cite Beverly
 20 Enterprises for failing to provide a safe workplace given
 21 the NIOSH Work Practices Guide and biomechanical
 22 conbiderations in manual lifting tasks, and the citation
 23 was overturned.
- Q okay. I don't want you to get into specific --

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MR. MILLBERG: Well, let him --
                 MR. SHAPIRO: -- discussion. The general
2
3
         topics is fine.
                 MR. MILLBERG: Excuse me.
5
                 MR. SHAPIRO: Okay.
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                 MR. MILLBERG: Let him finibh his answer.
7
                 THE WITNESS: So, you know, clearly I think
8
         that that'B an indictment of the limitations of some
9
         of thebe, you know, so-called bedrock or foundation
10
         documents in ergonomics.
11
   BY MR. SHAPIRO:
12
                 Do they have no use for the ergonomic
         Q
13 professional?
14
                I don't neceSBarily need the NIOSH Work
   Practices Guide or a biomechanical model to --
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16
                It was presented to the workshop attendees,
17
    wasn't it?
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         Α
                 It was presented as a vehicle to provide a
   fundamental understanding of some of the issues that you
19
    Bhould consider, you should look at when you're looking
20
21
    at, in thib case, a manual lifting task.
22
         Q
                 And this particular graphic highlights that
23 the job analyst needs to measure with some yardstick or a
24 tape measure certain height, vertical heights,
25 essentially take measurements at different POBitions in a
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lift in order to put those variables into first, I gueBS, the work practices guides?

- A That was actually a -- an example taken from the guide itself. It was presented as these are the data points that you need to gather in order to, you know, run through the equation. As I recall, one of the comnents in the workshop shop was where on the railroad is there a task like that.
- Q These other graphics were pertaining to UBing biological -- a biomechanical model, excuse me, and there are some limitations in 14IOSH Work Practices Guide with more complicated work tabks so the biomechanical modelb were explained to be in some ways more accurate with these other types of job tasks; is that correct?
- A Not necessarily accurate. The biomechanical models were presented aB a means of evaluating task -- tasks, excuse me, that you could not evaluate using the NIOSH Work Practices Guide.
- Q And, for example, in this particular blowup of the graphic there are a few more measurements that need to be looked at in the biomechanical model; is that correct?
- A The biomechanical model you essentially enter the angles of various body segments derived from the posture that is assumed by a worker in the course of the

I exertion.

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20 21 Q Did -- at this time in this workbhop, did the AAR make available software on biomechanical modeling to the participants?

- A As I recall, Paul had developed a two dimensional static biomechanical model that could be run on, I think it was a Radio Shack computer, and I think that that was made available to people who were interested in it.
 - Q That's been modified and improved, hasn't it?
- 11 A Well, the University of Michigan is in the 12 busineBS, to give you an example, of updating and selling 13 biomechanical models, both a two-dimensional and a 14 three-dimensional version.
 - Q Well, some of the literature here indicates the AAR is making available the Boftware to the railroads free in order to do the biomechanical modeling; iB that true?
 - A I don't think it'B true any longer. I think the original software waB made available free, but during my tenure at the AAR I don't recall any effort being made to enhance or upgrade the software.
- Q What about here at CSX, do you use biomechanical modeling software?
- 25 A Do I use biomechanical software?

	<u>-</u>
1	Q Is it available here at CSX?
2	A Joe McCall did some biomechanical analyses, I
3	believe, on work done by electricianb. Do I use
4	biomechanical modeling and software? For a while I had a
5]3eta verbion of the University of Michigan
6	three-dimensional static strength prediction program more
7	from an evaluative "is this something that you can use
8	and tell us where the problems are so we can debug it"
9	prerelease version. But I do not I put in for the
10	three-dimensional static strength prediction program
11	software in next year's budget. I don't have it yet.
12	Q From the UniverBity Of Michigan?
13	A From the University of Michigan.
14	Q Have you used any biomechanical modeling
15	software in the labt year?
16	A I'm trying to recall. Other than the Beta
17	version jUBt to confirm some thoughtb that I had or to
18	test some or look at some things, not really, no.
19	Q Have you seen Mr. McCall's software that he
20	had used as early as 1991?
21	A I've seen the outputs from that program.
22	Q He still has that available, doesn't he?
23	A I don't know.
24	Q You haven't Been anything that Mr. McCall's
25	done with any of his software since 1991? in other

words, you've been there in the last year I understand, but have you seen any of hiB work that he did earlier than that?

- A In talking to him I believe I recall him saying that about all he did were the two or three evaluations of the electricians. I may be wrong on that, though.
- Q So the biomechanical modeling is or is not helpful to the ergonomist now?
- 10 A Biomechanical modeling may be useful to test 11 some aSBUMptiOnS or to confirm some suspicions or --
- 12 Q Do you recognize what this is? 13 MR. MILLBERG: Well, let's get --

14 THE WITNESS: That's --

15 MR. SHAPIRO: I didn't stop him. I'm sorry. 16 Go ahead.

17 THE WITNESS: That's about it. Again, as I
18 was going to say, I don't rely exclusively on
19 biomechanical modeling software to tell me if I've
20 got a problem with the job or not.

21 BY MR. SHAPIRO:

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22 Q Can you tell it without the modeling 23 sometimes?

A I think usually a good ergonomist can look at a job and on the basis of his training and experience

1 determine whether or not it's a problem.

- Q Okay. This blowup here, this was not from the workshop guides itself, but I'm going to ask you if you recognize this type of printout?
- 5 A That looks like a screen that would be 6 provided by a two-dimensional model. Now, whether that 7 was the AAR version of the software or whether it was a 8 University of Michigan version of the software, I can't 9 tell just on the way it's presented.
- 10 Q Does thib look like the kind of software that 11 Mr. McCall had?
 - A Well, it says analyst J. M. McCall.
- 13 Q I didn't want to put any words in your 14 mouth. I realize that.

Okay. In any way -- in any case, this
particular Boftware would show the ergonomist the
percentage of males and females that would generally in
the population be capable of doing a particular tabk as
far as the particular joint of their body affected,
right?

A Right.

12

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- Q And it would also -- this AL and MPL refers to the action limit and the maximum permisBible limit from NIOSH guides, isn't it?
- 25 A Well, the biomechanical model produces an

Page 47 I index of back compression. Technically speaking, what 2 the NIOSH Work Practices Guide gives you in terms of the 3 AL, the action limit, and the MPL, the maximum 4 permissible limit, is a weight that can be lifted. Okay. These figureb here, these are the 6 individual measurements of the height, the vertical positioning of the particular item being handed or 7 lifted, correct? On the baSiB of the postural data that is Α 10 entered, the model comeb up with an optimum posture or a 11 posture, an arrangement of the body, and it then 12 calculates the H distance, that is the horizontal 13 dibtance of the center of the load or the hands from the 14 body, the vertical distance or the height of the load to 15 be lifted from the ground, the distance from the L5-SI 16 joint, that's the fifth lumbar vertebrae sacrum to the 17 hand, and that the L5-SI is assumed to be the rotation or 18 flexion point for the upper body. 19 That's the very low point in the back? 0 20 The low back. Α 21 Q All right. These figures can be obtained simply with a tape measure or a yardstick and with the 22 23 job analyst out in the field to carefully take these 24 measurements; is that correct? 25 Α Actually --

	Page 48				
	Q Except for the weight of the object, i				
2	suppose.				
3	A Well, the weight of the object you can				
4	clearly weigh. The pobture data you can derive using a				
5	still photograph and a protractor.				
6	Q Okay. What about a digitized photograph?				
7	A You can, you know, digitize a photograph the				
8	same way				
9	Q Do you know how Mr. McCall did it, whether he				
10	used a protractor or a digitized photograph?				
11	A I don't know, no.				
12	Q How do you do it with a protractor if you				
13	don't digitize an actual photograph of the posture?				
14	A Well, there are any number of data				
15	acquisition devices that are available. There are some				
16	fairly sophisticated front end data capture programs				
17	that, like you say, will take a frame by frame digital				
18	analysis of work postures over the course of the				
19	particular task and will literally provide a screen like				
20	this for each posture. But, basically, what it then goes				
21	into is either it feedb that postural data either into				
22	a 2D or a 3D model.				
23	Q What's the poor man's method				

24 25 Q What's the poor man's method -A The poor man's method is you have the still
photograph taken from the side and you go in and you

1 meabure the angles with the protractor. Right on top of the photograph? Q.

> Α Right on top of the photograph, sure.

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All you're doing is basically you're drawing 6 horizontal lines at each of these articulations and then 7 measuring the angle.

> Q. Okay.

Α And, as I say, the program, there's certain 10 assumptions, there's an optimization routine built in in 11 which the program itself is making certain assumptions about given the particular anthropometry entered, given 13 the gender of the worker that's entered, it assumes 14 certain orientationb of the body.

You know, one of the problems both with the biomechanical model and the VIOSH Work Practices Guide is that they neglect certain micropostural considerations and that is -- particularly with regard to the low back it is making assumptions about what the low back is going, what is the posture of the low back. is it flexed? Is it extended? What's going on there? And all of thOBe can have a bearing or a

23 consideration -- that's why -- on what's happening to the 24 lumbar spine in the worker. That's why I don't rely

25 exclusively on these things. I want to go out. I want

to see, you know, is the guy jerking and pressing like an -- like an Olympic weight lifter? You know, is he flexing his low back? Do you see a lot of kyphosis? That is where they're rotating their low back inward.

- Q Is this the -- we talked about earlier, is thib the table that was in the workshop guides to allow the job analyst to enter a number of the variables on the movement in the lift in order to calculate things?
- A That basically was provided as a data collection device where they could go in and make the measurementb that we talked about earlier, the horizontal location of the hands, the vertical location of the hande, that sort of the thing.
- Q Okay. Then thib WaB -- I believe when you referred earlier to thebe three large exhibits, which were the workshop guides and materials, the last one from 1991 had a table of contents. And thib appears to be -- now, I've edited this to make it fit on one page. This appears to be the table of contents from the -- that was outlined in the 1991 workshop -- not a workbhop but in the ergonomic guide.
 - A That being the prerelease edition?
- 23 Q Yes. I think it's here.

I'm sorry. It might not be that page. It's further in, I suppose.

I don't see it. Α Let me take a look here. Q. 3 Just to compare that page and the next page. 4 Α Oh, okay. 5 Q It's the one after it when you pass the --6 Α I didn't go far enough. 7 Q -- acknowledgments. 8 Α Okay.

There you go. I just merged them together Q 10 for the blowup.

11 Α okay.

12 Q All right.

13 Α That, I assume, is what was published with 14 it.

15 So this waB the table of contents from the 16 prerelease guide which was similar to the October 190 17 workshop. There might have been some slight changes. 18 You were listed as an editor along with Messrs. Page, 19 McMahan and Wilker.

> Uh-huh. Α

20 21 And it said here, "We greatly acknowledge the 22 following individuals who carefully critiqued these 23 materials at our first workshop on this subject. We have 24 tried to implement their recommendations where POSBible. 25 Through their assistance we feel this product will better

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1 serve the railroad industry.,, And it included two
 2 individuals from csx --
 3
          Α
               There's Sandy.
 4
           0
                -- Sandy Hall and Joe McCall.
 5
           Α
                Okay.
 6
           0
                Some of the other railroads also contributed
 7
   including Southern Amtrak. No, I'm sorry.
                                               @trak's not
    mentioned, is it?
                So you would agree that Mr. McCall and Ms.
10 Hall obviously reviewed the materials and assisted the
11 AAR with cormnentb?
12
          Α
                Well, that's what it sayb.
13
                This is a table that I took from the 1991
14 Prerelease Guide, and this is a pretty familiar graph
15
   that YOU Bee in the ergonomics guide, isn't it, adopted
16
   from the NIOSH Work Practices Guides?
17
          A WaB that in the '90 -- I never saw ultimately
18 the Prerelease Edition of Unit 1. Was that in there?
               I believe it was.
19
           0
20
                Okay.
           Α
21
                You're familiar with that table, right?
          0
22
   You've seen that?
23
           A I've seen a variant of it, yes. Okay.
24
               And ebsentially what this table doeb in a
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25 handy one-page easily readable chart is indicate, for

example, this iB the amount of inches or feet away from the center point of the stance of the worker.

A Uh-huh.

- Q And, for example, if the worker is holding something between 12 inches and 24 inches away from the center point of his posture and he's holding it less than 20 inches off the ground, that indicates in a handy way that he should not be lifting more than 20 pounds at that position; is that true?
- A Now, for the assumptions made in the calculation, that's what it's essentially trying to illustrate, sure.
- Q Or ju5t to give a different example, if the worker is holding something out from his body or her body 12 inches or more at this level, say, at the top of the shoulders, there shouldn't be more than 20 pounds being lifted at that quadrant; is that correct?
- A Subject to the aSBUMptions made, that'B what it's saying, yes.
- Q And it has some things that -- these were all in the 1991 guide there -- talks about some little points in relation to the table, and it says jobs involving frequent lifting require careful analysis and design, but as a general rule consider that frequently lifted loads should be at least 50 percent smaller than the value

shown.

That was presented at the workshop, wasn't it, or in the guides in 1991?

A Again, I don't recall seeing that, but that'B what it sayb.

5 what it sayb.
6 Q And this is another way of expressing the
7 figures and the quadrants that we jUSt Baw on the
8 previous table. This is just showing similar data in a
9 different way. In other words, this is sort of the point
10 where the person would be standing ground zero, this is
11 the number of inches moving out from their center of

the number of inches moving out from their center of gravity, this is the amount of pounds they could hold, and as it moves away from their body the amount that

and as it moves away from their body the amount that they can carry moveb down.

A Well, that doesn't consider the vertical location of the load as the previous graphic did.

Q Right.

A But that -- that is essentially a reproduction probably taken from the 1981 NIOSH document.

Q I'm sorry. I think that concludeb the

21 blowups. 22

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Now, since you joined CSX in '95 you've become a colleague of Mr. McCall and Ms. Hall, right? You've worked together with them?

A We are co-workers, yes.

Q	And do you feel they have Bolid skillb in	n
order to do	ergonomic analysis as a result of the	eir
involvement	in the workshOPB in '90 and '91?	

A That's a tough call to make. I think both Sandy and Joe bring a number of years of practical experience to their positions. Certainly, they have had exposure to things that I have not and the converse is true.

The intent of the training that they were provided by the AAR waB to acquaint them with basic ergonomic principles and allow them to perform curbory ergonomic analySiB. The intent was not to turn them into degreed ergonomists.

- Q I understand that. But Mr. McCall did some ergonomic assebaments utilizing some of the materials he learned, and he did those as early at 1991, didn't he?
 - A That's true.
- Q And he did them of electricians at CSX. You're aware of that, right?
 - A Yes, I am.
- Q He had biomechanical modeling, in other words, he had software. We know that, right?
- A Clearly.

Q Have you been made aware of any written ergonomic job analyses by any CSX personnel of any type

Page 56 1 of trackman or track laborer that was done prior to the summer of 1993? 3 A I can't recall any, no. What about of the brazing saw activity of a 5 track laborer prior to the summer of 1993? A I have not seen any, no. 7 What about any ergonomic analysis of the handling of kegb of railroad spikes prior to the summer of 1993 at CSX by CSX personnel? I am not aware of any, no. 10 Since the summer of '93, moving forward, are 11 12 you aware of any ergonomic analysis in writing between 13 that time and, let's say, the end of 1994 of track work of any kind done at CSX? 14 15 Α I am not aware of any, no. 16 Q. Okay. Are you aware of any ergonomic 17 analySiB that were done of brakeman or of engineers in 18 the period 1993 or 1994 by CSX personnel in any of their 19 work tasks? 20 I'm not aware of any, no. Α 21 To the beat of your knowledge, you, Mr. 22 McCall and Ms. Hall do not have any @ made available 23 software on biomechanical modeling besides the Beta

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MR. MILLBERG: I would object to what other

verbion that you said you had before?

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people have.

MR. SHAPIRO: I'm asking from his knowledge.

MR. MILLBERG: He's already testified at some

length about -- it's obvious that Mr. McCall has

something because you've been cross-examining him

about it.

MR. SHAPIRO: Right.

8 BY MR. SHAPIRO:

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- Q Well, you previously said Mr. McCall had some biomechanical modeling material. Do you know whether he still has it?
 - A I do not know.
- 13 Q What about Ms. Hall, do you know if she has 14 any biomechanical modeling software?
- 15 A There were some spreadsheet based application 16 programs for the NIOSH Work Practices Guide that were 17 developed as companion information to the workshop. 18 Whether and to what extent that information was made 19 available to AAR member roads, I don't know.
- Q Well, let me just put it this way: Are you testifying that there is no AAR made available software used by any of the ergonomists here at CSX as best as you know?
- A I can't testify to that. I'm telling you that there was information made available. I have copies

of it on my computer at home. 2 Q. Okay. Α But whether they have it here in the office 3 4 and use it, I don't know. 5 0 Do you use it as part of your work at all? 6 Α On occasion. 7 Q Well, if we make a request to counsel for the railroad to produce it, what would be involved in you making available a copy of the software, just duplicating the disk? 10 11 Α Just putting it on a disk. 12 All right. Do you have manualb that explain 13 how to use the software or any materials? 14 A That I would have to check on. I don't know. I would have to look in some of my moving boxes. 15 16 Q Who at the AAR was the person that was in 17 charge of distributing the software when you were there 18 up until 195? 19

- ${\tt A}$ ${\tt Tim}$ Jones, who was a colleague of mine at the AAR, he replaced George Page there.
 - Q Is he still there as best you know?
- 22 A To the best of my knowledge, yes.

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Q All right. What is the title -- what's the name of the AAR software? Does it have a name that you knew of?

I A It was really just, like I say, a spreadsheet 2 application and I think it was just designated VIOSH.WPG 3 for word practices guide.

Q And obviously it's been updated, but this is the similar material that was made available in '91 with updates?

MR. MILLBERG: Objection to the form of the question.

THE WITNESS: I don't recall whether there was NIOSH spreadsheet software made available to the attendees of developmental workshops. The software that I'm thinking about probably was developed around '93 or '94.

14 BY MR. SRAPIRO:

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15 Q So there have been two -- there's been two 16 different kinds then you're describing? There WaB 17 Bome that was --

18 A Well, there was some that waB available that, 19 you know, Paul developed --

Q All right.

A -- as we talked about earlier. That would have been his version or his take of the 2D biomechanical model. Then there was a spreadsheet application, like I say, where you just plug in numbers and that was for the NIOSH Work Practicea Guide.

- 1 Q And that's what you have at home?
- 2 A And that's what I have at home.
- 3 Q All right. Let me switch gears now back to
- 4 the ergonomic traini

ng lbsue xn general and what the job

- 5 analyst does.
- 6 It's important for the job analybt to take
- 7 the ergonomic material knowledge into the workplace and
- 8 apply the principles, right?
- 9 A Evaluate the jobs, certain- -- make a
- 10 determination that a problem or an issue exists, then
- 11 look for ways to resolve it, sure.
- 12 Q And the job analyst is encouraged, through
- 13 the workshop materials that we talked about, to interact
- 14 with the workers and explain thebe principles to the
- 15 workers, correct, explain lifting methods, what they're
- 16 trying to do with the workers to analyze their task and
- 17 so forth?
- 18 A Well, we have had a comprehensive lifting and
- 19 back conservation program at CSX for a number of years.
- 20 We started with Proback back in the 180s when we brought
- 21 in professionals to start taking a look at work
- 22 conditions. Employee input was solicited in helping to
- 23 resolve a number of these issues, and that's carried over
- 24 into our current back conbervation effort which is called
- 25 Back in Motion, and that is an annual thing. There is an

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1 annual refresher on Back in Motion.

You know, supervisors are brought into
Jacksonville or other points around the system. Th
particular emphasib for the year is presented at that
point, and, you know, it's -- the supervisors are
released to go back and ensure that the employees are
working using good Proback/Back in Motion methods, many
of which incorporate points that we've already covered.
You know, keeping the load clobe, don't twist and lift,
don't jerk.

You know, it's all part of our larger safety certification effort where we go annually throughout the system, train the employeeb, refresh, reinforce the concepts of our safety program. The cornerstone being the concept of empowerment. If it's not safe, don't do it.

- 17 Q Let me just make sure I understand. All of 18 what you just talked about that happened prior to -- when 19 did you start with CSX? When in 1995?
- 20 A 1995 in March.
- Q Everything that you just talked about prior to that, you relied on records you've seen here to say that it happened, right?
- MR. MILLBERG: Objection.
- 25 BY MR. SHAPIRO:

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Page Q In other words, any training that happened I 2 before your start date here, you've reviewed that in records from CSX to determine that, right? 3 A And I've talked to the employees. I've 5 talked to the people who put on the training. 6 All right. But it was before your tenure here. These things that you just talked about were 7 before you joined CSX, right? A It's going on now. 10 Q Mr. Brown, I understand that. I just -- you 11 would agree, right, it happened before you joined here? Everything you talked about before '95 happened before 13 you joined CSX, right? 14 A (No audible response.) 15 Q You've been here a year and-a-half? 16 Α Yes. 17 Q. Okay. 18 MR. MILLBERG: The answer to that question 19 the question you were answering was you have been 20 here Bince 1995, a year and-a-half. THE WITNESS: That'B correct. 21 MR. MILLBERG: Okay. 22 23 MR. SHAPIRO: All right. 24 BY MR. SHAPIRO: So everything regarding training that 25

happened, you reviewed either in records or you're sa 2 you got feedback from people in the field, right? 3 I can provide the documentation to Α Well, I'm just trying 4 Q 5 Α -- verify it. 6 I'm not questioning that. I'm jubt trying to 7 get the foundation of where you found these things out. 8 You've just explained that. 9 You testified that no one did any ergonomic 10 assessment of the brazing saw activity prior to 1993 --11 MR. MILLBERG: Repetitious; objection 12 -- right? 13 A To my knowledge no one looked at the brazing 14 saw, no. 15 All right. I would just ask that you make Q 16 available to your counsel the software and any manuals 17 that apply to it. 18 MR. MILLBERG: Well, I object to your 19 making --20 MR. SHAPIRO: I understand. MR. MILLBERG: I object to your making 21 22 requests like that of this witness. You can make 23 object- -- you can make requests to the defendant through me. 24 25 BY MR. SHAPIRO:

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-- so we're on the record today. FirBt of all, I'd like to direct your

16 17 attention back to the exhibit I've placed up on the board 18 and ask the cameraman to zoom in on that for just a

19 minute.

20 Do you recall answering a few questions for 21 counsel about that exhibit a few moments ago?

22 A Yes, sir.

23 And I would direct your attention to the 24 small print, the footnote-type material down at the 25 bottom. Does that indicate that that information that

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1 appears on that document is based on the NIOSH Work
2 Practices Guide as opposed to any publication or
3 guidelines or standards created by the AAR?

4 A That was derived from the NIOSH Work 5 Practices Guide in 1981, yes, sir.

6 Q Okay. That was all I wanted to ask you about 7 that. Now -- about that specific document.

Now, you've been asked a lot of questions
about the AAR, about NIOSH, about guidelines, about
biomechanical models and that kind of thing. My quebtion
to you is this, sir: Are there any of those that lend
themself to an analySiB of the rail-saw task involved in
this lawsuit?

A Not really, no, sir.

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Q Have you looked at the rail-saw task involved in this lawsuit?

17 A YeB, sir, I have. I have even performed the 18 task.

Q Are there any of the quest- -- do any of the questions that have been asked to you by counsel today, do any of those bring up any subjects or suggest anything to you that would suggest to you that the rail-saw task is an unreasonably dangerous or unsafe task to aBk employees to perform?

A I do not believe that the task is unsafe to

Page 66 1 perform, based first on my observation and converbatio 2 with the employees that perform the task, based on my u.ii 3 performance of the task, based on the fact that the task 4 is performed fairly infrequently and is a fairly short 5 duration. If you aggregate the time, for example, in 6 this matter that the plaintiff spent on the task, it 7 represents only a small percentage of the full time out 8 of the day. We spoke earlier about the importance of frequency and duration aB moderators. You can do things 10 that may be, quote, unquote, "stressful on the body," but 11 you do them infrequently and you do them for a short 13 period of time. The body recovers very rapidly, so... Is there any standard of -- published by any 14 15 entity that, to your knowledge, is violated by the 16 performance of the rail saw task at iSBue in this case? 17 Α No, sir. is MR. MILLBERG: Thank you. Those are all my questions. We will reserve the rest of our 19 20 que5tions for trial. 21 MR. SHAPIRO: I have a couple of questions, but --22 23 REDIRECT EXAMINATION 24 BY MR. SHAPIRO:

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Mr. Brown, when did you perform rail saw

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1 cutting?

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21 22 A I visited Franklin, Virginia, I want to say, about three weeks, four weeks ago and spent about two and-a-half days up there with various section gangb and went out with them, observed the work, performed some of the work, made CUTB on rail using the Racine saw and the Matweld saw.

- $\ensuremath{\mathtt{Q}}$ How many cuts did you make on the Racine saw?
- A I made one cut with the Racine saw and I made a cut with the Matweld Baw.
- Q Were you going to look at various job tasks besides the rail saw, other job tasks, too, or was it just the rail saw?
- A Well, I always take the opportunity when I go out to observe whatever it is that the employeeb are doing. The UBe of the rail saw -- I've been out, I want to say, three or four times with various section workers in and around JackBonville and at other locations. And the use of the rail saw was something that was actually specially scheduled so I could observe it. I made arrangements with the road master so I could see it.
- 23 Q You didn't cut 20 or 30 cuts of rail, did 24 you?
- 25 A No, sir.

Page 68 You understand that's what the plaintiff says did over a 24, 36-hour period? MR. MILLBERG: How many? I didn't hear you. 3 MR. SHAPIRO: Twenty-four or 36 hour period, 4 somewhere in there. 5 THE WITHESS: How many cuts? 6 MR. MILLBERG: How many cuts? I didn't hear 7 8 you. 9 MR. SHAPIRO: I think I said 20 to 30 --10 MR. MILLBERG: Well, I object to that 11 question. 12 MR. SHAPIRO: -- cuts. MR. MILLBERG: There's no evidence to support 13 14 it. 15 BY MR. SHAPIRO: If the plaintiff asserts that he did that, 17 would that in any way change your opinions? 18 MR. MILLBERG: Same objection. 19 THE WITNESS: Again, it goes back to the 20 frequency and duration consideration. The cut using 21 the saw requires roughly two to three minutes to 22 complete SO it'B a fairly low duration. There's 21 time in between during which the plaintiff would be 24 moving the saw, during which the plaintiff would 25 change the blade on the saw. The blades on those

Page 69 things are only good for somewhere between three to

six cuts, six being optimistic. He's not doing thib task continuously.

BY MR. SRAPIRO:

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- 0 Did you take a look at the prior injury 6 reports using the brazing saw that CSX had on file before Mr. Moody was hurt?
 - A I WaB provided with copies of them. I have not had time to research them in depth.
- 10 Are thobe of no significance to the job 11 analyst?
 - Α To the extent that the reports contain a detailed description of what was occurring at the time of the injury, including the type of saw, would certainly be a conbideration.
 - So you've looked at those, right? Q.
 - I have glanced through them. I've -- as I Α said, I haven't had time to research them in depth.
- 19 Did you take the measurements of the position 20 UBing the Racine Baw of where the hands are on the worker 21 when he's in the middle and the end of the cut?
- 22 Did I measure that directly, no, sir, I did 23 not.
- 24 Well, the posture of the worker, did you 25 notice the posture of the worker is pretty much down at

Page 70 1 the knees or lower when they're finishing a cut with the 2 Racine Baw? 3 Α The posture of the right hand, as I recall, 4 was above the knee. The posture -- I would have to go 5 back and review the videotapes you provided. Q You have videotapes, too? 7 Α These were videotapes made by your expert. 8 Oh, did you take videotapes when you were out 9 there? 10 A I did videotape the task, yes. 11 Q. Did you turn them over to counsel for the 12 railroad? 13 A Yes, I did. What about still photographs, did you turn 14 Q 15 those over to counbel for the railroad? A I did not take still photographs. 16 17 Q. What was the date you were at Franklin again? 18 It was between three and four weeks ago, as I А 19 recall. 20 So mid October or early October? Q 21 Α I want to say -- I believe it was the last 22 week in October. 23 Q Did you create any written documents at all 24 as a reBUlt of your looking at the brazing Baw? 25 Any reportb?

Page 71 Anything in writing at all? Α Just notes with regard to the weight of the 3 saw. Q Which ones? Which saws? Every saw? A We UBed the Racine and we used the Matweld. 6 Q What other notes did you take? 7 That was about the -- some measurements and Α that was about the extent of it. Okay. You can make -- are those in your Q 10 office where you can make thOBe available --11 Α Yes, sir. 12 Q -- if they were requested? 13 Okay. So there'B videotapes, there's some 14 measurements and some handwritten noteb and there isn't 15 anything else? 16 Α No, sir. 17 MR. SHAPIRO: Okay. I think that's all I've 18 got. 19 MR. MILLBERG: Thank you, Dr. Brown. We will 20 reserve the rebt Of our quebtions. MR. SHAPIRO: There's one more thing I want 21 22 to state on the record, and that is that the 23 plaintiff takes the position we would move to 24 exclude any tebtimony related to the inspections 25 that Mr. Brown did becaube they weren't turned over

Page 72 to us under our discovery in the case. This is the 2 first we've heard about it, and we --3 MR. MILLBERG: That's not true. 4 MR. SHAPIRO: You can state your position. 5 my position iB that if you want to --6 MR. MILLBERG: I will. MR. SHAPIRO: We're here in Jacksonville. If 7 8 you want to question him based on what he's reviewed 9 in the case as opposed to what he saw, which was 10 never produced to us, that's okay. But we're going 11 to make the -- move the Court to exclude any portion 12 of hiB testimony that related to the inspection 13 itbelf. We were made available inspection materials 14 from the sunimer, I believe, that you sent me a tape 15 of. Unless he's got the dates wrong, it's my 16 understanding that I don't have any information 17 before today that Mr. Brown's been there or that he 18 annualized this activity. 19 MR. MILLBERG: Well, then let me -- are you 20 finished? 21 MR. SHAPIRO: Yes. 22 MR. MILLBERG: Let me state for the record 23 that you have not read what I have Bent you because 24 this witness was identified as an expert witness 25 long ago and --

Page 73 MR. SHAPIRO: Well, I saw your answer that he 2 was an expert. 3 MR. MILLBERG: Excuse me. I'm not finished. 4 And you were told in the interrogatory answer 5 that his opinions would be based in part on his 6 obbervations of this work activity, and he is here 7 for you to depose and you depose him to your heart's 8 content. We're calling him and we're asking him 9 about all of that. 10 MR. SHAPIRO: I understand that, Mr. 11 Millberg, but --12 MR. MILLBERG: If you've got any other 13 questions, have at it. 14 MR. SHAPIRO: I don't have any questions. 15 MR. MILLBERG: Okay. 16 MR. SHAPIRO: No videotapes were produced and 17 no notes of Mr. Brown were produced, and the 18 implication in the interrogatory answer that they 19 were based on his obbervations was -- left us with 20 the impression that he was reviewing materials from 21 prior inspections. Okay. That's it. 22 MR. MILLBERG: Well, that's --22 MR. SHAPIRO: He has the right to --24 MR. MILLBERG: EXCUBE me just a minute. 25 MR. SHAPIRO: We don't need this on the

Ι video. 2 MR. MILLBERG: I'm just about finished. 3 I'm going to state for the record that 4 counsel for the plaintiff was advised on October 5 the -- by interrogatory anbwers dated October the 18th, 1996 that this witness was expected to give 6 7 testimony regarding any ergonomics iSBueB raised by 8 the plaintiff in rebuttal -- and also in rebuttal to 9 his expert that his testimony would be based on a 10 review of all file materials as well as his 11 examination of the work procedures involved, and 12 that's what he's going to testify about. 13 MR. SHAPIRO: Are you going to use any 14 videotapes or notes that he used at his inspection? 15 Because I would ask for you to send those to me. 16 I'm reserving my POBition. 17 MR. MILLBERG: No, I won't -- since you are making such a fuss about it, I'll tell you right now 18 19 I won't even use them. 20 MR. SHAPIRO: Okay. He needs to be -- you 21 need to read his rights to him or I will. Whatever 22 you want to do. 22 MR. MILLBERG: We can go off the record. 24 (Off-the-record discussion.) 25 THE WITNESS: I'll waive.

						Page	
1		(Whereupon,	the	deposition	was	concluded	at
2	5:40	o'clock p.m.)					
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CERTIFICATE

2	STATE OF FLORIDA
3	COUNTY OF DUVAL
4	I, Dorie A. Morgan, Registered Professional
5	Reporter and Notary Public duly and qualified in and for
6	the State of Florida do hereby certify there came before
7	me the deponent herein, namely RAYMOND TODD BROWN, who
8	was by me duly Bworn to testify to the truth and nothing
9	but the truth concerning the matterb in thib cause.
10	I further certify that the foregoing
11	transcript is a true and correct transcript of my
12	original stenographic notes.
13	I further certify that I am neither attorney
14	or counbel for, nor related to or employed by any of the
15	parties to the action in which this deposition is taken;
16	and furthermore, that I am not a relative or employee of
17	any attorney or counsel employed by the parties hereto or
is	financially interebted in the action.

19 IN WITNESS WHEREOF, I have hereunto set my

20 hand and affixed my Notarial Seal this a(oth day of

21 November, 1996.

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Dorie A. Morggn, RPPI NOTARY PUBLIC

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